

## **AMENDMENT NO. 2 FINAL**

This Amendment modifies Contract No. 1655-15887 Section No. 16-A8106-00-FP, for Group 1 – 2016 Pavement Preservation and Rehabilitation Program – North 2016 by and between the County of Cook, Illinois, herein referred to as "County" and Plote Construction Inc., authorized to do business in the State of Illinois hereinafter referred to as "Contractor":

### **RECITALS**

Whereas, the County and Contractor have entered into a Contract approved by the County Board on March 22, 2017, (hereinafter referred to as the "Contract"), wherein the Contractor is to provide construction services (hereinafter referred to as the "Services") from March 29, 2017 through November 4, 2019, in an amount not to exceed \$2,199,610.65; and

Whereas, Amendment #1 was executed by the Chief Procurement Officer on April 10, 2018; to revise the Trust Agreement Financial Institution from The Private Bank to CIBC Bank USA; and

Whereas, the County and Contractor are in the closeout period for services that have been substantially completed; and

Whereas, a decrease in the amount of \$610,985.35 is required for the adjustment of Services; and

Now therefore, in consideration of mutual covenants contained herein, it is agreed by and between the parties to amend the Contract as follows:

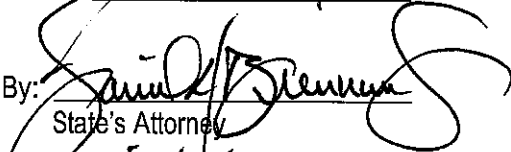
1. The Contract is decreased by \$610,985.35 and the Total Contract Amount is revised to \$1,588,625.30.
2. The Contract is hereby amended to incorporate Attachment A and made part of this Contract.
3. The attached Identification of Sub-Contractors/Suppliers/Sub-Consultants Form, MBE/WBE Utilization Plan, and Economic Disclosures Statement are incorporated and made a part of this Contract as Attachment B.
4. All other terms and conditions remain as stated in the Contract.

In witness whereof, the County and Contractor have caused this Amendment No. 2 FINAL to be executed on the date and year last written below.

County of Cook, Illinois

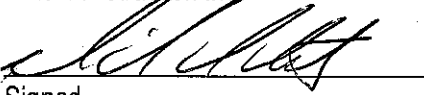
By:   
Chief Procurement Officer

Date: 1-24-19

By:   
State's Attorney  
Daniel H. Brennan Jr  
Type or print name

Date: 1-18-19

Plote Construction Inc.

  
Signed

Daniel R. Plote  
Type or print name  
President  
Title

Date: November 8, 2018

ATTACHMENT A

# CONTRACT CHANGE ORDER



## COOK COUNTY DEPARTMENT OF TRANSPORTATION AND HIGHWAYS BUREAU OF CONSTRUCTION

**Project:** Group 1-2016  
PPRP North  
**Section:** 16-A8106-00-FP  
**Contract:** 1655-15887  
**GC:** Plote Construction Inc.

**Date:** 10/11/2018  
**Chief Engr:** Holly Cichy, P.E.  
**RE:** Micah Dominguez  
**SE:** Aaron Lebowitz, P.E.  
**OE:** Ron Maranan

**Number:** 2 & Final

**Contract Amount:** \$2,199,610.65

ITEM	DESCRIPTION	UNIT	UNIT PRICE	CONTRACT QUANTITIES	ADJUSTMENTS	AMOUNT OF THIS CHANGE	CHANGES TO DATE
1	EARTH EXCAVATION	CU YD	\$12.00	2,586.00	(2,266.00)	(\$27,192.00)	(\$27,192.00)
2	TOPSOIL FURNISH AND PLACE, 4"	SQ YD	\$5.00	11,550.00	(10,661.80)	(\$53,309.00)	(\$53,309.00)
3	GRADING AND SHAPING DITCHES	FOOT	\$7.00	950.00	(950.00)	(\$6,650.00)	(\$6,650.00)
4	SEEDING, CLASS 1	ACRE	\$13,000.00	1.00	(1.00)	(\$13,000.00)	(\$13,000.00)
5	NITROGEN FERTILIZER NUTRIENT	POUND	\$5.00	145.00	(127.00)	(\$635.00)	(\$635.00)
6	PHOSPHORUS FERTILIZER NUTRIENT	POUND	\$5.00	145.00	(127.00)	(\$635.00)	(\$635.00)
7	POTASSIUM FERTILIZER NUTRIENT	POUND	\$5.00	145.00	(127.00)	(\$635.00)	(\$635.00)
8	EROSION CONTROL BLANKET	SQ YD	\$2.00	4,780.00	(4,780.00)	(\$9,560.00)	(\$9,560.00)
9	SODDING	SQ YD	\$8.00	11,550.00	(10,661.80)	(\$85,294.40)	(\$85,294.40)
10	SUPPLEMENTAL WATERING	UNIT	\$50.00	117.00	(117.00)	(\$5,850.00)	(\$5,850.00)
11	SUBBASE GRANULAR MATERIAL, TYPE B 6"	SQ YD	\$1.00	912.00	(430.40)	(\$430.40)	(\$430.40)
12	AGGREGATE BASE COURSE, TYPE B 4 "	SQ YD	\$3.65	436.00	149.30	\$544.95	\$544.95
13	AGGREGATE BASE COURSE, TYPE B 8 "	SQ YD	\$35.00	102.00	(102.00)	(\$3,570.00)	(\$3,570.00)
14	BITUMINOUS MATERIALS (TACK COAT)	POUND	\$0.50	44,655.00	(18,437.00)	(\$9,218.50)	(\$9,218.50)
15	LEVELING BINDER (MACHINE METHOD),N50	TON	\$65.00	1,605.00	(1,531.50)	(\$99,547.50)	(\$99,547.50)
16	POLYMERIZED LEVELING BINDER (MACHINE METHOD), IL-4.75, N50	TON	\$97.00	1,085.00	174.70	\$16,945.90	\$16,945.90
17	HOT-MIX ASPHALT BINDER COURSE, IL-19.0, N70	TON	\$67.00	3,625.00	(240.00)	(\$16,080.00)	(\$16,080.00)
18	HOT-MIX ASPHALT SURFACE COURSE, MIX "D", N70	TON	\$75.00	5,167.00	(318.10)	(\$23,857.50)	(\$23,857.50)
19	PROTECTIVE COAT	SQ YD	\$1.00	1,727.00	(1,727.00)	(\$1,727.00)	(\$1,727.00)
20	PORTLAND CEMENT CONCRETE SIDEWALK 5 INCH	SQ FT	\$8.00	3,700.00	(2,122.30)	(\$16,978.40)	(\$16,978.40)
21	DETECTABLE WARNINGS	SQ FT	\$32.00	150.00	(20.00)	(\$640.00)	(\$640.00)
22	HOT-MIX ASPHALT SURFACE REMOVAL, 2 1/4 "	SQ YD	\$4.40	16,850.00	(1,476.60)	(\$6,497.04)	(\$6,497.04)
23	HOT-MIX ASPHALT SURFACE REMOVAL, 2 1/2 "	SQ YD	\$4.40	8,890.00	(344.40)	(\$1,515.36)	(\$1,515.36)
24	HOT-MIX ASPHALT SURFACE REMOVAL, 4 "	SQ YD	\$5.00	28,630.00	(1,990.90)	(\$9,954.50)	(\$9,954.50)
25	COMBINATION CURB AND GUTTER REMOVAL	FOOT	\$5.00	1,420.00	773.50	\$3,867.50	\$3,867.50
26	SIDEWALK REMOVAL	SQ FT	\$3.00	3,925.00	(2,102.80)	(\$6,308.40)	(\$6,308.40)
27	CLASS C PATCHES, TYPE II, 10 INCH	SQ YD	\$94.00	510.00	(510.00)	(\$47,940.00)	(\$47,940.00)
28	CLASS C PATCHES, TYPE III, 10 INCH	SQ YD	\$94.00	170.00	(170.00)	(\$15,980.00)	(\$15,980.00)
29	CLASS C PATCHES, TYPE IV, 10 INCH	SQ YD	\$94.00	170.00	(170.00)	(\$15,980.00)	(\$15,980.00)
30	CLASS D PATCHES, TYPE II, 10 INCH	SQ YD	\$75.00	870.00	(870.00)	(\$65,250.00)	(\$65,250.00)
31	CLASS D PATCHES, TYPE III, 10 INCH	SQ YD	\$65.00	290.00	(290.00)	(\$18,850.00)	(\$18,850.00)
32	CLASS D PATCHES, TYPE IV, 10 INCH	SQ YD	\$65.00	290.00	(290.00)	(\$18,850.00)	(\$18,850.00)
33	AGGREGATE SHOULDERS, TYPE B 6"	SQ YD	\$11.00	4,127.00	(3,057.00)	(\$33,627.00)	(\$33,627.00)
34	HOT MIX ASPHALT SHOULDER, 10"	SQ YD	\$60.00	680.00	(198.40)	(\$11,904.00)	(\$11,904.00)
35	INLETS TO BE ADJUSTED	EACH	\$300.00	11.00	(4.00)	(\$1,200.00)	(\$1,200.00)

# CONTRACT CHANGE ORDER



## COOK COUNTY DEPARTMENT OF TRANSPORTATION AND HIGHWAYS BUREAU OF CONSTRUCTION

**Project:** Group 1-2016  
PPRP North  
**Section:** 16-A8106-00-FP  
**Contract:** 1655-15887  
**GC:** Plote Construction Inc.

**Date:** 10/11/2018  
**Chief Engr:** Holly Cichy, P.E.  
**RE:** Micah Dominguez  
**SE:** Aaron Lebowitz, P.E.  
**OE:** Ron Maranan

**Number:** 2 & Final

**Contract Amount:** \$2,199,610.65

ITEM	DESCRIPTION	UNIT	UNIT PRICE	CONTRACT QUANTITIES	ADJUSTMENTS	AMOUNT OF THIS CHANGE	CHANGES TO DATE
36	COMBINATION CONCRETE CURB AND GUTTER, TYPE B-6.12	FOOT	\$33.00	50.00	149.00	\$4,917.00	\$4,917.00
37	COMBINATION CONCRETE CURB AND GUTTER, TYPE B-6.24	FOOT	\$39.00	1,370.00	(570.00)	(\$22,230.00)	(\$22,230.00)
38	SPECIAL WASTE DISPOSAL	CU YD	\$100.00	69.00	(69.00)	(\$6,900.00)	(\$6,900.00)
39	ENGINEER'S FIELD OFFICE, TYPE A	CAL MO	\$4,000.00	12.00		\$0.00	\$0.00
40	CHANGEABLE MESSAGE SIGN	CAL MO	\$750.00	34.00	(15.50)	(\$11,625.00)	(\$11,625.00)
41	PAVEMENT MARKING TAPE, TYPE III - LETTERS AND SYMBOLS	SQ FT	\$5.00	254.00	(254.00)	(\$1,270.00)	(\$1,270.00)
42	PAVEMENT MARKING TAPE, TYPE III 4"	FOOT	\$0.05	55,371.00	(55,371.00)	(\$2,768.55)	(\$2,768.55)
43	MODIFIED URETHANE PAVEMENT MARKING - LETTERS AND SYMBOLS	SQ FT	\$5.00	254.00	0.80	\$4.00	\$4.00
44	MODIFIED URETHANE PAVEMENT MARKING - LINE 4"	FOOT	\$0.45	49,556.00	(3,706.00)	(\$1,667.70)	(\$1,667.70)
45	MODIFIED URETHANE PAVEMENT MARKING - LINE 6"	FOOT	\$1.00	1,488.00	179.50	\$179.50	\$179.50
46	MODIFIED URETHANE PAVEMENT MARKING - LINE 8"	FOOT	\$1.05	1,140.00	1,797.00	\$1,886.85	\$1,886.85
47	MODIFIED URETHANE PAVEMENT MARKING - LINE 12"	FOOT	\$2.00	51.00	33.50	\$67.00	\$67.00
48	MODIFIED URETHANE PAVEMENT MARKING - LINE 24"	FOOT	\$5.00	192.00	104.50	\$522.50	\$522.50
49	RAISED REFLECTIVE PAVEMENT MARKER	EACH	\$30.00	168.00	(168.00)	(\$5,040.00)	(\$5,040.00)
50	RAISED REFLECTIVE PAVEMENT MARKER REMOVAL	EACH	\$13.00	168.00	(109.00)	(\$1,417.00)	(\$1,417.00)
51	LONGITUDINAL JOINT SEALANT	FOOT	\$1.75	22,166.00	(11,033.00)	(\$19,307.75)	(\$19,307.75)
52	CLEANING EXISTING MANHOLE OR CATCH BASINS	EACH	\$475.00	13.00	(2.00)	(\$950.00)	(\$950.00)
53	DETECTOR LOOP, TYPE I	FOOT	\$17.50	1,000.00	(163.50)	(\$2,861.25)	(\$2,861.25)
54	FRAMES AND LIDS TO BE ADJUSTED, SPECIAL	EACH	\$590.00	23.00	(15.00)	(\$8,850.00)	(\$8,850.00)
55	MAINTENANCE OF EXISTING TRAFFIC SIGNAL INSTALLATION	EACH	\$2,500.00	2.00		\$0.00	\$0.00
56	MIXTURE FOR CRACKS, JOINTS, AND FLANGEWAYS	TON	\$400.00	29.00	(8.00)	(\$3,200.00)	(\$3,200.00)
57	CUTTING HOT - MIX ASPHALT PAVEMENT (FULL DEPTH)	FOOT	\$2.65	2,320.00	(1,888.00)	(\$5,003.20)	(\$5,003.20)
58	TEMPORARY BUTT JOINTS	FOOT	\$1.75	1,614.00	(136.00)	(\$238.00)	(\$238.00)
59	CRUSHED STONE ( TEMPORARY USE )	L SUM	\$1,000.00	1.00		\$0.00	\$0.00
60	CONSTRUCTION LAYOUT STAKES AND SURVEY CONTROL POINTS	L SUM	\$10,000.00	1.00		\$0.00	\$0.00
61	TRAFFIC PROTECTION	L SUM	\$142,400.00	1.00		\$0.00	\$0.00
62	CONTRACT EXTRA WORK	UNIT	\$1.00	100,000.00	(100,000.00)	(\$100,000.00)	(\$100,000.00)
*63	CLASS C PATCHES, TYPE II, 10 IN. (HIGH EARLY)	SQ.YD.	\$158.54		480.70	\$76,210.18	\$76,210.18
*64	COMBINATION CURB & GUTTER, M-6.12	FOOT	\$40.50		1,175.50	\$47,607.75	\$47,607.75
*65	FRAMES AND LIDS	EACH	\$433.95		2.00	\$867.90	\$867.90

**CONTRACT CHANGE ORDER****COOK COUNTY DEPARTMENT OF  
TRANSPORTATION AND HIGHWAYS  
BUREAU OF CONSTRUCTION**

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**OE:** Ron Maranan

**Number:** 2 & Final

**Contract Amount:** \$2,199,610.65

ITEM	DESCRIPTION	UNIT	UNIT PRICE	CONTRACT QUANTITIES	ADJUSTMENTS	AMOUNT OF THIS CHANGE	CHANGES TO DATE
*66	INLET, TYPE C	EACH	\$1,215.07		1.00	\$1,215.07	\$1,215.07
*67	RECESSED PAVEMENT MARKER	EACH	\$34.50		168.00	\$5,796.00	\$5,796.00
*68	EMERGENCY POTHOLE PATCHING	LSUM	\$12,818.88		1.00	\$12,818.88	\$12,818.88
*69	CLASS C PATCHES, TYPE III, 10 IN. (HIGH EARLY)	SQ.YD.	\$158.54		108.50	\$17,201.59	\$17,201.59
*70	CLASS C PATCHES, TYPE IV, 10 IN. (HIGH EARLY)	SQ.YD.	\$158.54		128.40	\$20,356.54	\$20,356.54

**AMOUNT:** (\$610,985.35) (\$610,985.35)

**ADJUSTED CONTRACT AMOUNT:** \$1,588,625.30

**% CHANGE:** -27.8%

# CONTRACT CHANGE ORDER



## COOK COUNTY DEPARTMENT OF TRANSPORTATION AND HIGHWAYS BUREAU OF CONSTRUCTION

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**OE:** Ron Maranan

Number: 2 & Final

Contract Amount: \$2,199,610.65

To: Chief Procurement Officer

Please be advised of a proposed contract change order on the above captioned project, as follows:

### LINE ITEM CHANGES

#### Item #1:

Less quantity for **EARTH EXCAVATION** was required than provided for the in the contract to properly construct this project. A large quantity of this item was provided for a rural roadway section where field conditions did not require its use..

#### Item #2 & #9:

Less quantity for **TOPSOIL & SODDING** was required than provided for the in the contract to properly construct this project A large quantity of this item was provided for a rural roadway section where landscaping was not disturbed by the construction work.

#### Items #3, #4, #8, #10, #31, #32 & #38:

Quantities for **GRADING AND SHAPING DITCHES, LANDSCAPING ITEMS, PAVEMENT PATCHING ITEMS & SPECIAL WASTE DISPOSAL** were provided in the contract but not required to properly construct this project.

#### Items #14, #17, #18, #20, #22, #24, #26, #34, #40, #51, #54 & #57:

Less quantities for **ASPHALT ITEMS, PCC SIDEWALK ITEMS, CHANGEABLE MESSAGE SIGN, LONGITUDINAL JOINT SEALANT & FRAMES AND LIDS TO BE ADJUSTED SPECIAL** were required than provided for the in the contract to properly construct this project.

#### Item #15:

Less quantity for **LEVELING BINDER** was required than provided for the in the contract to properly construct this project. After removal of existing asphalt no roadway sections of this project required use of this item.

#### Item #16:

Additional quantities for **POLYMERIZED LEVELING BINDER** were required than provided for in the contract to properly construct this project.

#### Item #30:

Less quantity for **CLASS D PATCHES** was required than provided for the in the contract to properly construct this project. Upon inspection of the roadway after the existing asphalt surface was removed, it was determined that there were no areas that required full depth patching.

#### Item #33:

Less quantity for **AGGREGATE SHOULDERS** was required than provided for the in the contract to properly construct this project. The condition of the aggregate shoulders after the installation of the HMA in most areas was not disturbed and did not need to be replaced.

#### Item #62:

Since the project is completed and added work is accommodated for under the particular items of work performed, the remaining amount in the **CONTRACT EXTRA WORK** item is eliminated.

### NEW ITEMS

## CONTRACT CHANGE ORDER



## COOK COUNTY DEPARTMENT OF TRANSPORTATION AND HIGHWAYS BUREAU OF CONSTRUCTION

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Number: 2 & Final

Contract Amount: \$2,199,610.65

**New Items #63, #69 & #70 and Items #27, #28 & #29:**

**CLASS C PATCHES (HIGH EARLY)** were added to the contract to be able to open traffic in both directions on a 2-lane road on the same day since a detour plan was not provided in the plan. The new items replaced items 27, 28 and 29 contract items **CLASS C PATCHES** which were originally intended for pavement patching.

**New Item #64 and Item #37:**

**COMBINATION CURB & GUTTER, M-6.12** was added to the contract to be able to match the existing curb and gutter dimensions in one of the sections of this contract. This Item partially replaces item 37 **COMBINATION CURB AND GUTTER, B-6.24** which was originally intended to repair curb and gutter in that section..

**Item #65:**

**FRAMES AND LIDS** was added to the contract to be able to replace existing frames and lids that were found to be cracked or broken upon removal of the existing asphalt surface.

**Item #66:**

**INLET, TYPE C** was added to the contract to replace a broken inlet that was discovered during the HMA removal process.

**New Item #67 and Item #49:**

**RECESSED PAVEMENT MARKER** was added to the contract to replace item 49 **Raised Reflective Pavement Marker**. The Cook County Highway Department is implementing recessed pavement markers as the new standard to provide a safer roadway condition to the public.

**Item #68:**

**EMERGENCY POTHOLE PATCHING** was a necessary item to fill potholes that formed overnight after a heavy rain on the jobsite and to provide a safe roadway for the motoring public.



# CONTRACT CHANGE ORDER



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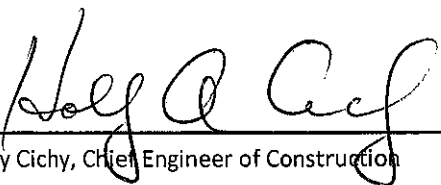
Number: 2 & Final

Contract Amount: \$2,199,610.65

### Note:

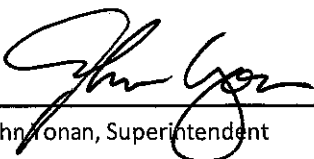
The undersigned has determined that the circumstances that necessitate this change are germane to the original contract, were not reasonably foreseeable at the time the contract was signed and is in the best interest of the local agency.

Amount of this change order:	DECREASE	(\$610,985.35)
Total amount of change orders to date:	DECREASE	(\$610,985.35)

  
Holly Cichy, Chief Engineer of Construction

11-6-18

Date

  
John Conan, Superintendent

11/6/18

Date

ATTACHMENT B

**Cook County**  
**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

<b>OCPO ONLY:</b>	
<input checked="" type="checkbox"/> Disqualification	<input checked="" type="checkbox"/> Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract. In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

<b>Bid/RFP/RFQ No.:</b> 1655-15887	<b>Date:</b> 01/03/19
<b>Total Bid or Proposal Amount:</b>	<b>Contract Title:</b> Pavement Preservation & Rehabilitation Program North 2018
<b>Contractor:</b> Plote Construction Inc.	<b>Subcontractor/Supplier/ Subconsultant to be added or substitute:</b> Del Toro Landscaping
<b>Authorized Contact for Contractor:</b> William T. Madden	<b>Authorized Contact for Subcontractor/Supplier/ Subconsultant:</b> Anna Ruiz
<b>Email Address (Contractor):</b> bmadden@plote.com	<b>Email Address (Subcontractor):</b> elsa@deltorolandscaping.com
<b>Company Address (Contractor):</b> 1100 Brandt Drive	<b>Company Address (Subcontractor):</b> 18N061 Galligan Road
<b>City, State and Zip (Contractor):</b> Hoffman Estates, IL 60192	<b>City, State and Zip (Subcontractor):</b> Dundee, IL 60118
<b>Telephone and Fax (Contractor):</b> 847-695-8300 phone 847-695-9317 fax	<b>Telephone and Fax (Subcontractor):</b> 847-426-1200 847-426-0459
<b>Estimated Start and Completion Dates (Contractor):</b> As required	<b>Estimated Start and Completion Dates (Subcontractor):</b> As required

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

Description of Services or Supplies	Total Price of Subcontract for Services or Supplies
Landscaping	\$15,369.40

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.

**Contractor** Plote Construction Inc.  
**Name** Ryan Carey, Project Manager  
**Title** \_\_\_\_\_  
**Prime Contractor Signature** \_\_\_\_\_ **Date** 01/03/19

**Cook County**  
**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

<b>OCPO ONLY:</b>
<input checked="checked" type="checkbox"/> <b>Disqualification</b> <input checked="checked" type="checkbox"/> <b>Check Complete</b>

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract. In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 1655-15887	Date: 01/03/19
Total Bid or Proposal Amount:	Contract Title: Pavement Preservation & Rehabilitation Program North 2019
Contractor: Plote Construction Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: Maintenance Coatings Company
Authorized Contact for Contractor: William T. Madden	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Julie Wrightsman
Email Address (Contractor): bmadden@plote.com	Email Address (Subcontractor): julie@maintenancecoatings.com
Company Address (Contractor): 1100 Brandt Drive	Company Address (Subcontractor): 543 Woodbury Street
City, State and Zip (Contractor): Hoffman Estates, IL 60192	City, State and Zip (Subcontractor): South Elgin, IL 60177
Telephone and Fax (Contractor): 847-685-9300 phone 847-685-9317 fax	Telephone and Fax (Subcontractor): 847-622-0020 847-622-1087
Estimated Start and Completion Dates (Contractor): As required	Estimated Start and Completion Dates (Subcontractor): As required

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

Description of Services or Supplies	Total Price of Subcontract for Services or Supplies
Striping	\$33,349.35

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE Utilization Plan must be submitted to the Office of the Contract Compliance.

Contractor: Plote Construction Inc.

Name: Ryan Carey, Project Manager

Title: \_\_\_\_\_ Date: 01/03/19

Prime Contractor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Cook County**  
**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

**OCPO ONLY:**  
☒ Disqualification  
☒ Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract. In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 1655-15887	Date: 01/03/19
Total Bid or Proposal Amount:	Contract Title: Pavement Preservation & Rehabilitation Program North 2018
Contractor: Plote Construction Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: Smith Maintenance
Authorized Contact for Contractor: William T. Madden	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Michael Smith
Email Address (Contractor): bmadden@plote.com	Email Address (Subcontractor): mike@smithmaint.com
Company Address (Contractor): 1100 Brandt Drive	Company Address (Subcontractor): 205 W Randolph Street
City, State and Zip (Contractor): Hoffman Estates, IL 60192	City, State and Zip (Subcontractor): Chicago, IL 60606
Telephone and Fax: 847-695-9300 phone (Contractor): 847-695-9317 fax	Telephone and Fax (Subcontractor): 312-425-1962 312-425-1950
Estimated Start and Completion Dates: As required (Contractor)	Estimated Start and Completion Dates: As required (Subcontractor)

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

Description of Services or Supplies	Total Price of Subcontract for Services or Supplies
Traffic Control	\$51,875.00

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.

Contractor: Plote Construction Inc.

Name: Ryan Carey, Project Manager

Title:  01/03/19

Prime Contractor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Cook County**  
**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

**OCPO ONLY:**  
☒ Disqualification  
☒ Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract. In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

<b>Bid/RFP/RFQ No.:</b> 1655-15867	<b>Date:</b> 01/03/19
<b>Total Bid or Proposal Amount:</b>	<b>Contract Title:</b> Pavement Preservation & Rehabilitation Program North 2016
<b>Contractor:</b> Plote Construction Inc.	<b>Subcontractor/Supplier/ Subconsultant to be added or substitute:</b> Rico Enterprises, Inc.
<b>Authorized Contact for Contractor:</b> William T. Madden	<b>Authorized Contact for Subcontractor/Supplier/ Subconsultant:</b> Nancy Walker
<b>Email Address (Contractor):</b> bmadden@plote.com	<b>Email Address (Subcontractor):</b> Nancy@ricoenterprises.net
<b>Company Address (Contractor):</b> 1100 Brandt Drive	<b>Company Address (Subcontractor):</b> 11245 Dundee Road
<b>City, State and Zip (Contractor):</b> Hoffman Estates, IL 60192	<b>City, State and Zip (Subcontractor):</b> Huntley, IL 60142
<b>Telephone and Fax (Contractor):</b> 847-895-8300 phone 847-895-8317 fax	<b>Telephone and Fax (Subcontractor):</b> 847-669-3400 847-669-3402
<b>Estimated Start and Completion Dates (Contractor):</b> As required	<b>Estimated Start and Completion Dates (Subcontractor):</b> As required

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Hauling	\$95,727.75

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE Utilization Plan must be submitted to the Office of the Contract Compliance.

**Contractor** Plote Construction Inc.

**Name** Ryan Carey, Project Manager

**Title**  01/03/19

**Prime Contractor Signature**

**Date**

**Cook County**  
**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

**OCPO ONLY:**  
☐ Disqualification  
☒ Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract. In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 1655-15887	Date: 01/03/19
Total Bid or Proposal Amount:	Contract Title: Pavement Preservation & Rehabilitation Program North 2016
Contractor: Plote Construction Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: SAL Express, Inc.
Authorized Contact for Contractor: William T. Madden	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Tatjana Pavlovic
Email Address (Contractor): bmadden@plote.com	Email Address (Subcontractor): salexpress@hotmail.net
Company Address (Contractor): 1100 Brandt Drive	Company Address (Subcontractor): 10640 Stafford
City, State and Zip (Contractor): Hoffman Estates, IL 60192	City, State and Zip (Subcontractor): Countryside, IL 60625
Telephone and Fax (Contractor): 847-895-9300 phone 847-695-9317 fax	Telephone and Fax (Subcontractor): 708-207-6099
Estimated Start and Completion Dates (Contractor): As required	Estimated Start and Completion Dates (Subcontractor): As required

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

Description of Services or Supplies	Total Price of Subcontract for Services or Supplies
Hauling	\$29,129.95

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE Utilization Plan must be submitted to the Office of the Contract Compliance.

Contractor: Plote Construction Inc.

Name: Ryan Carey, Project Manager

Title: 01/03/19

Prime Contractor Signature

Date

**Cook County**  
**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

**OCPO ONLY:**  
☒ Disqualification  
☒ Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract. In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

<b>Bid/RFP/RFQ No.:</b> 1655-15887	<b>Date:</b> 01/03/19
<b>Total Bid or Proposal Amount:</b>	<b>Contract Title:</b> Pavement Preservation & Rehabilitation Program North 2018
<b>Contractor:</b> Plote Construction Inc.	<b>Subcontractor/Supplier/Subconsultant to be added or substitute:</b> Truck King Hauling Contractors, Inc.
<b>Authorized Contact for Contractor:</b> William T. Madden	<b>Authorized Contact for Subcontractor/Supplier/Subconsultant:</b> Jesus Sauzamede Jr.
<b>Email Address (Contractor):</b> bmadden@plote.com	<b>Email Address (Subcontractor):</b> jsauzamede@tkhcinc.com
<b>Company Address (Contractor):</b> 1100 Brandt Drive	<b>Company Address (Subcontractor):</b> 4600 W. 48th Street
<b>City, State and Zip (Contractor):</b> Hoffman Estates, IL 60192	<b>City, State and Zip (Subcontractor):</b> Chicago, IL 60632
<b>Telephone and Fax (Contractor):</b> 847-695-9300 phone 847-695-9317 fax	<b>Telephone and Fax (Subcontractor):</b> 773-847-6000 773-847-6714
<b>Estimated Start and Completion Dates (Contractor):</b> As required	<b>Estimated Start and Completion Dates (Subcontractor):</b> As required

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Hauling	\$45,117.45

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE Utilization Plan must be submitted to the Office of the Contract Compliance.

**Contractor** Plote Construction Inc.

**Name** Ryan Carey, Project Manager

**Title**  01/03/19

**Prime Contractor Signature**  **Date**



**Cook County**  
**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

<b>OCPO ONLY:</b>	
<input checked="" type="checkbox"/> Disqualification	<input checked="" type="checkbox"/> Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract. In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

<b>Bid/RFP/RFQ No.:</b> 1655-15887	<b>Date:</b> 01/03/19
<b>Total Bid or Proposal Amount:</b>	<b>Contract Title:</b> Pavement Preservation & Rehabilitation Program North 2016
<b>Contractor:</b> Plote Construction Inc.	<b>Subcontractor/Supplier/ Subconsultant to be added or substitute:</b> Whiteline Construction, Inc.
<b>Authorized Contact for Contractor:</b> William T. Madden	<b>Authorized Contact for Subcontractor/Supplier/ Subconsultant:</b> Fernando Godinez
<b>Email Address (Contractor):</b> bmadden@plote.com	<b>Email Address (Subcontractor):</b> dean@whitelineconstruction.net
<b>Company Address (Contractor):</b> 1100 Brandt Drive	<b>Company Address (Subcontractor):</b> 4722 S. Spaulding Street
<b>City, State and Zip (Contractor):</b> Hoffman Estates, IL 60192	<b>City, State and Zip (Subcontractor):</b> Chicago, IL 60632
<b>Telephone and Fax (Contractor):</b> 847-695-9300 phone 847-695-9317 fax	<b>Telephone and Fax (Subcontractor):</b> 708-992-0888 773-376-3778
<b>Estimated Start and Completion Dates (Contractor):</b> As required	<b>Estimated Start and Completion Dates (Subcontractor):</b> As required

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Sewer & Concrete	\$215,183.14

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE Utilization Plan must be submitted to the Office of the Contract Compliance.

**Contractor** Plote Construction Inc.  
**Name** Ryan Carey, Project Manager  
**Title** \_\_\_\_\_  
**Prime Contractor Signature** \_\_\_\_\_ **Date** 01/03/19

**TONI PRECKWINKLE**

PRESIDENT  
Cook County Board  
of Commissioners

BRANDON JOHNSON  
1st District

DENNIS DEER  
2nd District

BILL LOWRY  
3rd District

STANLEY MOORE  
4th District

DEBORAH SIMS  
5th District

DONNA MILLER  
6th District

ALMA E. ANYA  
7th District

LUIS ARROYO, JR.  
8th District

PETER N. SILVESTRI  
9th District

BRIDGET GAINER  
10th District

JOHN P. DALEY  
11th District

BRIDGET DEGNEN  
12th District

LARRY SUFFREDIN  
13th District

SCOTT R. BRITTON  
14th District

KEVIN B. MORRISON  
15th District

JEFFREY R. TOBOLSKI  
16th District

SEAN M. MORRISON  
17th District

## OFFICE OF CONTRACT COMPLIANCE

**EDWARD H. OLIVIERI**

DIRECTOR

118 N. Clark, County Building, Room 1020 • Chicago, Illinois 60602 • (312) 603-5502

January 8, 2019

Mr. Raffi Sarrafian  
Chief Procurement Officer  
County Building-Room 1018  
Chicago, IL 60602

Re: Contract No. 1655-15587 (Amendment No. 2 FINAL)  
Pavement Preservation and Rehabilitation Program- North 2016  
Department of Transportation and Highways

Dear Mr. Sarrafian:

The Office of Contract Compliance is in receipt of the above-reference contract amendment and has reviewed it for compliance with the Minority- and Women- owned Business Enterprises (MBE/WBE) Ordinance. After careful review, it has been determined this amendment is responsive to the Ordinance.

Bidder: Plote Construction, Inc.  
Original Contract Amount: \$2,199,611.00  
Decrease Contract Value: \$610,985.35 (Amendment No.2)  
New Contract Value: \$1,588,625.30  
Contract Goal: 24% MBE, 10% WBE

<u>MBE/WBE</u>	<u>Status</u>	<u>Certifying Agency</u>	<u>Commitment (Direct)*</u>
Whiteline Construction	MBE (9)	City of Chicago	13.54%
Smith Maintenance Company	MBE (6)	City of Chicago	3.26%
Truck King Hauling	MBE (9)	City of Chicago	2.84%
SAL Express, Inc.	MBE (6)	City of Chicago	1.93%
Del Toro Landscaping	MBE (9)	City of Chicago	.97%
Rico Enterprises, Inc.	WBE (7)	City of Chicago	5.98%
Maintenance Coating Co.	WBE (7)	City of Chicago	2.10%
			<hr/> 30.52%

\*Commitment percentages are based on the amendment contract value.

**Partial MBE and WBE Waiver Granted:** Due to other relevant factors making it impossible or economically infeasible to utilize MBE and/or WBE firms.

**Revised MBE/WBE forms** were used in the determination of the responsiveness of this contract.

Sincerely,

  
Edward H. Olivieri  
Contract Compliance Director  
EHO/ae

cc: Danuta Rusin, OGPO  
Pui Szeto, DOTH  
Enclosure: Revised MBE/WBE Forms



1000 W. Washington St. - 24th Floor - Chicago, IL 60602-3007

Plote Construction Inc.  
1000 W. Washington St.  
Chicago, IL 60602-3007  
Phone: (312) 467-1000  
Fax: (312) 467-1001

December 7, 2018

Holly A. Cichy, P.E.  
Cook County Department of Transportation and Highways  
Cook County George Dunne Building  
69 W. Washington St. - 24th Floor  
Chicago, IL 60602-3007

Attention: Aaron Lebowitz, Supervising Engineer

RE: Contract No. 1655-15887 Section Number 16-A8106-00-FP  
Plote Project: 170020  
DBE Participation  
030-00

Aaron,

Enclosed you will find the DBE Payment Agreements for the above referenced contract. The original goal was not met because of a reduction of pay items contracted with Del Toro Landscaping, Rico Enterprises, Smith Maintenance and S.A.L Express.

- The contract amount for Del Toro was reduced by \$211,667.60. The shortfall was the result of line item reductions in Topsoil Furnish and Place, 4", Seeding Class 1, Nitrogen Fertilizer Nutrient, Phosphorus Fertilizer Nutrient, Potassium Fertilizer Nutrient, Erosion Control Blanket, and Supplemental Watering.
- The contract amount for Rico Enterprises was reduced by a by a value of \$54,272.25. The shortfall was a result of line item quantity reductions for Earth Excavation, Agg Bse ger Cse Type B 8" and HMA Binder/ Surface Items. Trucking represents a large portion of the line item cost for the reduced pay items.
- The contract amount for Smith Maintenance Inc. was reduced by a value of \$11,625.00. The shortfall was due to the reduction in the Changeable Message Board Quantity.
- The contract amount for S.A.L Express, Inc. was reduced by a value of \$13,870.05. The shortfall was a result of line item quantity reductions for Earth Excavation, Agg. Bse. Cse. Type B 8" and HMA Binder/ Surface Items. Trucking represents a large portion of the line item cost for the reduced pay items.

In summary our overall contract value was reduced by 27.8%. The majority of these line item reductions directly affected our MBE/WBE participation value. Due to the nature of the composition of work, Plote Construction Inc. was unable to secure additional MBE/WBE contracting opportunities. Please review the attached information and contact me if you have any questions or concerns.

Sincerely,

A handwritten signature in black ink, appearing to be "Holly A. Cichy", written over a white background.



11000 BROADWAY, SUITE 200, NEWTON, MA 02459

11000 BROADWAY  
SUITE 200, NEWTON  
MA 02459  
PHONE: 617.552.1000  
FAX: 617.552.1001

Ryan Carey  
Project Manager

Cc: PCI 170020 / Project File

## MBE/WBE UTILIZATION PLAN - FORM 1

BIDDER/PROPOSER HEREBY STATES that all MBE/WBE firms included in this Plan are certified MBEs/WBEs by at least one of the entities listed in the General Conditions - Section 19.

### I. BIDDER/PROPOSER MBE/WBE STATUS: (check the appropriate line)

- ☐ Bidder/Proposer is a certified MBE or WBE firm. (If so, attach copy of current Letter of Certification)
- ☐ Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified MBEs or WBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit - available online at [www.cookcountyll.gov/contractcompliance](http://www.cookcountyll.gov/contractcompliance))
- ☐ Bidder/Proposer is not a certified MBE or WBE firm, nor a Joint Venture with MBE/WBE partners, but will utilize MBE and WBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent - Form 2).

### II. ☒ Direct Participation of MBE/WBE Firms ☐ Indirect Participation of MBE/WBE Firms

**NOTE:** Where goals have not been achieved through direct participation, Bidder/Proposer shall include documentation outlining efforts to achieve Direct Participation at the time of Bid/Proposal submission. Indirect Participation will only be considered after all efforts to achieve Direct Participation have been exhausted. Only after written documentation of Good Faith Efforts is received will Indirect Participation be considered.

MBEs/WBEs that will perform as subcontractors/suppliers/consultants include the following:

MBE/WBE Firm: DEL TORO LANDSCAPING

Address: 18N061 GALLIGAN ROAD, DUNDEE, IL 60118

E-mail: elsa@deltorolandscaping.com

Contact Person: ANNA RUIZ Phone: 847-426-7200

Dollar Amount Participation: \$ 15,369.40

Percent Amount of Participation: 1% %

\*Letter of Intent attached? Yes ☐ No ☐

\*Current Letter of Certification attached? Yes ☐ No ☐

MBE/WBE Firm: RICO ENTERPRISES, INC.

Address: 11245 DUNDEE ROAD, HUNTLEY, IL 60142

E-mail: nancy@ricoenterprises.net

Contact Person: NANCY L WALKER Phone: 847-669-3400

Dollar Amount Participation: \$ 95,727.75

Percent Amount of Participation: 6.1% %

\*Letter of Intent attached? Yes ☐ No ☐

\*Current Letter of Certification attached? Yes ☐ No ☐

Attach additional sheets as needed.

\* Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.

**MBE/WBE LETTER OF INTENT - FORM 2**

M/WBE Firm: DEL TORO LANDSCAPING, INC.

Certifying Agency: CITY OF CHICAGO

Contact Person: SCOTT KNOLLENBERG

Certification Expiration Date: 2/1/2018

Address: 18N061 GALLIGAN ROAD

Ethnicity: HISPANIC

City/State: DUNDEE, IL Zip: 60118

Bid/Proposal/Contract #: 1655-15887

Phone: 847-426-7200 Fax: 847-426-0459

FEIN #: 36-3697188

Email: scott@deltorolandscaping.com

Participation: ☒ Direct ☐ Indirect

Will the M/WBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s): \_\_\_\_\_

The undersigned M/WBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: (If more space is needed to fully describe M/WBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

LANDSCAPING

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

15,369.40

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a MBE/WBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Signature (M/WBE)

Signature (Prime Bidder/Proposer)

Juan M. Ruiz

DANIEL R. PLOTE

Print Name

Print Name

Del Toro Landscaping, Inc.

PLOTE CONSTRUCTION INC.

Firm Name

Firm Name

November 14, 2018

12/7/18

Date

Date

Subscribed and sworn before me

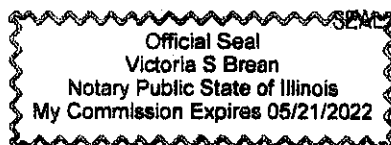
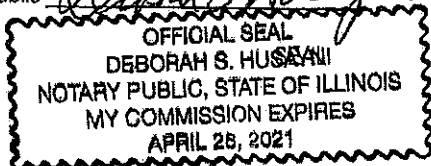
Subscribed and sworn before me

this 14<sup>th</sup> day of November, 2018

this 7<sup>th</sup> day of DECEMBER, 2018

Notary Public

Notary Public



# **MBE/WBE LETTER OF INTENT - FORM 2**

MWBE Firm: RICO ENTERPRISES, INC.

Certifying Agency: CITY OF CHICAGO/COOK COUNTY

Contact Person: NANCY WALKER

Certification Expiration Date: 1/17/17

Address: 11245 DUNDEE ROAD

Ethnicity: CAUCASIAN

City/State: HUNTLEY, IL Zip: 60142

Bid/Proposal/Contract #: 1655-15887

Phone: 847-669-3400 Fax: 847-669-3402

FEIN #: 36-3244987

Email: nancy@ricoenterprises.net

Participation: ☒ Direct ☐ Indirect

Will the MWBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s):

The undersigned MWBE is prepared to provide the following Commodities/Services for the above named Project/Contract: (If more space is needed to fully describe MWBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

HAULING

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

95,727.75

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a MBE/WBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Signature (MWBE)

Nancy L. Walker  
Print Name

Rico Enterprises, Inc.  
Firm Name

11-13-18  
Date

Subscribed and sworn before me

this 13 day of November, 2018

Notary Public Anna I. Carter

SEAL  
Anna I. Carter  
Notary Public, State of Illinois  
My Commission Expires: June 12, 2019  
Commission No: 821532

Signature (Prime Bidder/Proposer)

DANIEL R. PLOTE  
Print Name

PLOTE CONSTRUCTION INC.  
Firm Name

12/7/18  
Date

Subscribed and sworn before me

this 7th day of DECEMBER, 2018

Notary Public Victoria S Breaux

SEAL  
Official Seal  
Victoria S Breaux  
Notary Public State of Illinois  
My Commission Expires 05/21/2022

## MBE/WBE UTILIZATION PLAN - FORM 1

BIDDER/PROPOSER HEREBY STATES that all MBE/WBE firms included in this Plan are certified MBEs/WBEs by at least one of the entities listed in the General Conditions - Section 19.

**I. BIDDER/PROPOSER MBE/WBE STATUS: (check the appropriate line)**

- \_\_\_ Bidder/Proposer is a certified MBE or WBE firm. (If so, attach copy of current Letter of Certification)
- \_\_\_ Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified MBEs or WBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit - available online at [www.cookcountyil.gov/contractcompliance](http://www.cookcountyil.gov/contractcompliance))
- \_\_\_ Bidder/Proposer is not a certified MBE or WBE firm, nor a Joint Venture with MBE/WBE partners, but will utilize MBE and WBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent - Form 2).

**II. ☒ Direct Participation of MBE/WBE Firms ☐ Indirect Participation of MBE/WBE Firms**

**NOTE:** Where goals have not been achieved through direct participation, Bidder/Proposer shall include documentation outlining efforts to achieve Direct Participation at the time of Bid/Proposal submission. Indirect Participation will only be considered after all efforts to achieve Direct Participation have been exhausted. Only after written documentation of Good Faith Efforts is received will Indirect Participation be considered.

MBEs/WBEs that will perform as subcontractors/suppliers/consultants include the following:

MBE/WBE Firm: TRUCK KING HAULING

Address: 4600 W 48TH STREET, CHICAGO, IL 60632

E-mail: jsauzameda@tkhinc.com

Contact Person: JESUS SAUZAMEDA Phone: 773-847-6000

Dollar Amount Participation: \$ 45,117.45

Percent Amount of Participation: 2.9%

\*Letter of Intent attached? Yes        No       

\*Current Letter of Certification attached? Yes        No       

MBE/WBE Firm: MAINTENANCE COATINGS COMPANY

Address: 543 WOODBURY STREET, SOUTH ELGIN, IL 60177

E-mail: julie@maintenancecoatings.com

Contact Person: JULIE WRIGHTSMAN Phone: 847-622-0020

Dollar Amount Participation: \$ 33,349,635

Percent Amount of Participation: 2.1%

\*Letter of Intent attached? Yes        No       

\*Current Letter of Certification attached? Yes        No       

*Attach additional sheets as needed.*

**\* Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.**



**MBEWBE LETTER OF INTENT - FORM 2**

M/WBE Firm: TRUCK KING HAULING CONTRACTORS

Certifying Agency: CITY OF CHICAGO

Contact Person: JESUS SAUZAMEDA

Certification Expiration Date: 2/15/2020

Address: 4600 W 48TH STREET

Ethnicity: HISPANIC

City/State: CHICAGO, IL Zip: 60632

Bid/Proposal/Contract #: 1655-15887

Phone: 773-847-6000 Fax: 773-847-6214

FEIN #: 35-2171330

Email: jesse@tkhcinc.com

Participation: ☒ Direct ☐ Indirect

Will the M/WBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s):

The undersigned M/WBE is prepared to provide the following Commodities/Services for the above named Project/ Contract. (If more space is needed to fully describe M/WBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

HAULING

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

45,117.45

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a MBE/WBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Signature (M/WBE)

Jesus Sauzameda Jr.

Print Name

Truck King Hauling Contractors Inc

Firm Name

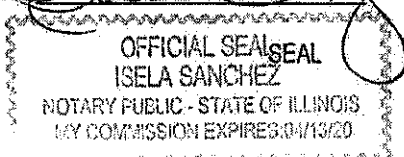
11/26/2018

Date

Subscribed and sworn before me

this 30th day of November, 2018.

Notary Public



Signature (Prime Bidder/Proposer)

DANIEL R. PLOTE

Print Name

PLOTE CONSTRUCTION INC.

Firm Name

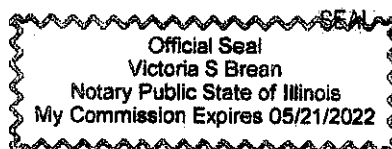
12/7/18

Date

Subscribed and sworn before me

this 7th day of DECEMBER, 2018.

Notary Public



**MBE/WBE LETTER OF INTENT - FORM 2**

M/WBE Firm: MAINTENANCE COATINGS CO

Certifying Agency: CITY OF CHICAGO

Contact Person: JULIE WRIGHTSMAN

Certification Expiration Date: 8/1/19

Address: 543 WOODBURY STREET

Ethnicity: CAUCASIAN

City/State: SOUTH ELGIN, IL Zip: 60177

Bid/Proposal/Contract #: 1655-15887

Phone: 847-622-0020 Fax: 847-622-1087

FEIN #: 36-3679228

Email: julie@maintenancecoatings.com

Participation: ☒ Direct ☐ Indirect

Will the M/WBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes -- Please attach explanation. Proposed Subcontractor(s):

The undersigned M/WBE is prepared to provide the following Commodities/Services for the above named Project/Contract: if more space is needed to fully describe M/WBE Firm's proposed scope of work and/or payment schedule, attach additional sheets

PAVEMENT MARKINGS

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

33,349.35

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a MBE/WBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Signature (M/WBE)

Print Name

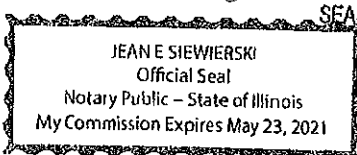
Firm Name

Date

Subscribed and sworn before me

this 13 day of November 2018

Notary Public



Signature (Prime Bidder/Proposer)

Print Name

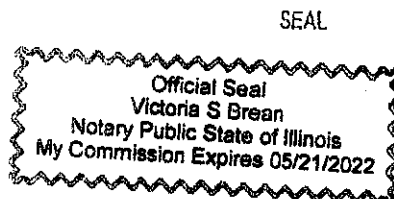
Firm Name

Date

Subscribed and sworn before me

this 7th day of December 2018

Notary Public



## MBE/WBE UTILIZATION PLAN - FORM 1

BIDDER/PROPOSER HEREBY STATES that all MBE/WBE firms included in this Plan are certified MBEs/WBEs by at least one of the entities listed in the General Conditions - Section 19.

**I. BIDDER/PROPOSER MBE/WBE STATUS:** (check the appropriate line)

- ☐ Bidder/Proposer is a certified MBE or WBE firm. (If so, attach copy of current Letter of Certification)
- ☐ Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified MBEs or WBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit - available online at [www.cookcountyltll.gov/contractcompliance](http://www.cookcountyltll.gov/contractcompliance))
- ☐ Bidder/Proposer is not a certified MBE or WBE firm, nor a Joint Venture with MBE/WBE partners, but will utilize MBE and WBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent - Form 2).

**II.**

☒

Direct Participation of MBE/WBE Firms

☐

Indirect Participation of MBE/WBE Firms

**NOTE:** Where goals have not been achieved through direct participation, Bidder/Proposer shall include documentation outlining efforts to achieve Direct Participation at the time of Bid/Proposal submission. Indirect Participation will only be considered after all efforts to achieve Direct Participation have been exhausted. Only after written documentation of Good Faith Efforts is received will Indirect Participation be considered.

MBEs/WBEs that will perform as subcontractors/suppliers/consultants include the following:

MBE/WBE Firm: WHITELINE CONSTRUCTION

Address: 4722 S SPAULDING STREET, CHICAGO, IL 60632

E-mail: dean@whitelineconstruction.net

Contact Person: FERNANDO GODINEZ Phone: 708-692-0888

Dollar Amount Participation: \$ 215,183.14

Percent Amount of Participation: 13.6% %

\*Letter of Intent attached? Yes ☐ No ☐

\*Current Letter of Certification attached? Yes ☐ No ☐

MBE/WBE Firm: SMITH MAINTENANCE

Address: 205 W RANDOLPH STREET, CHICAGO, IL 60606

E-mail: mike@smithmaint.com

Contact Person: MICHAEL SMITH Phone: 312-425-1962

Dollar Amount Participation: \$ 51,875.00

Percent Amount of Participation: 3.3% %

\*Letter of Intent attached? Yes ☐ No ☐

\*Current Letter of Certification attached? Yes ☐ No ☐

*Attach additional sheets as needed.*

\* Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.

**MBE/WBE LETTER OF INTENT - FORM 2**

M/WBE Firm: WHITELINE CONSTRUCTION INC.

Certifying Agency: CITY OF CHICAGO

Contact Person: FERNANO GUDINEZ

Certification Expiration Date: 2-28-2018

Address: 4722 S SPAULDING

Ethnicity: HISPANIC

City/State: CHICAGO, IL Zip: 60632

Bid/Proposal/Contract #: 1655-15887

Phone: 708-692-0888 Fax: 773-376-3778

FEIN #: 56-2675314

Email: dean@shileneconstruction.net

Participation: ☒ Direct ☐ Indirect

Will the M/WBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s): \_\_\_\_\_

The undersigned M/WBE is prepared to provide the following Commodities/Services for the above named Project/Contract: (If more space is needed to fully describe M/WBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

CONCRETE & SEWER

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

215,183.14

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a MBE/WBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Fernando Gudinez  
Signature (M/WBE)

Daniel R. Plote  
Signature (Prime Bidder/Proposer)

Fernando Gudinez/President  
Print Name

DANIEL R. PLOTE  
Print Name

Whiteline Construction, Inc  
Firm Name

PLOTE CONSTRUCTION INC.  
Firm Name

11/21/2018  
Date

12/7/18  
Date

Subscribed and sworn before me

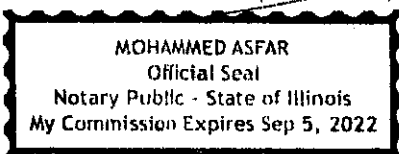
Subscribed and sworn before me

this 21<sup>st</sup> day of November, 2018.

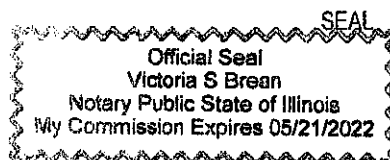
this TH day of DECEMBER, 2018.

Notary Public Mohammed Asfar

Notary Public Victoria S Brea



SEAL



**MBE/WBE LETTER OF INTENT - FORM 2**

M/WBE Firm: SMITH MAINTENANCE COMPANY

Certifying Agency: CITY OF CHICAGO

Contact Person: MICHAEL SMITH

Certification Expiration Date: 2/1/20

Address: 2221 W WALNUT STREET

Ethnicity: BLACK

City/State: CHICAGO, IL Zip: 60606

Bid/Proposal/Contract #: 1555-15887

Phone: 312-733-4301 Fax: 312-733-4601

FEIN #: 36-4191674

Email: mike@smithmaint.com

Participation: ☒ Direct ☐ Indirect

Will the M/WBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s):

The undersigned M/WBE is prepared to provide the following Commodities/Services for the above named Project/ Contract. (If more space is needed to fully describe M/WBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

TRAFFIC PROTECTION

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

51,875.00

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a MBE/WBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Signature (M/WBE)

Michael Smith

Print Name

Smith Maintenance Company

Firm Name

11/13/2018

Date

Subscribed and sworn before me

this 13th day of November, 2018

Notary Public



Signature (Prime Bidder/Proposer)

DANIEL R. PLOTE

Print Name

PLOTE CONSTRUCTION INC.

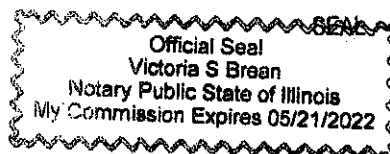
Firm Name

Date

Subscribed and sworn before me

this 7th day of December, 2018

Notary Public



### MBE/WBE UTILIZATION PLAN - FORM 1

BIDDER/PROPOSER HEREBY STATES that all MBE/WBE firms included in this Plan are certified MBEs/WBEs by at least one of the entities listed in the General Conditions - Section 19.

**I. BIDDER/PROPOSER MBE/WBE STATUS: (check the appropriate line)**

- ☐ Bidder/Proposer is a certified MBE or WBE firm. (If so, attach copy of current Letter of Certification)
- ☐ Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified MBEs or WBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit - available online at [www.cookcountylil.gov/contractcompliance](http://www.cookcountylil.gov/contractcompliance))
- ☐ Bidder/Proposer is not a certified MBE or WBE firm, nor a Joint Venture with MBE/WBE partners, but will utilize MBE and WBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent - Form 2).

**II. ☒ Direct Participation of MBE/WBE Firms ☐ Indirect Participation of MBE/WBE Firms**

**NOTE:** Where goals have not been achieved through direct participation, Bidder/Proposer shall include documentation outlining efforts to achieve Direct Participation at the time of Bid/Proposal submission. Indirect Participation will only be considered after all efforts to achieve Direct Participation have been exhausted. Only after written documentation of Good Faith Efforts is received will Indirect Participation be considered.

**MBEs/WBEs that will perform as subcontractors/suppliers/consultants include the following:**

MBE/WBE Firm: SAL EXPRESS, INC.

Address: 10640 STALFORD, COUNTRYSIDE, IL 60525

E-mail: salexpress@hotmail.com

Contact Person: TATJANA PAVLOVIC Phone: 708-207-9099

Dollar Amount Participation: \$ 29,129.95

Percent Amount of Participation: 1.9% %

\*Letter of Intent attached? Yes ☐ No ☐

\*Current Letter of Certification attached? Yes ☐ No ☐

MBE/WBE Firm: \_\_\_\_\_

Address: \_\_\_\_\_

E-mail: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_

Dollar Amount Participation: \$ \_\_\_\_\_

Percent Amount of Participation: \_\_\_\_\_ %

\*Letter of Intent attached? Yes ☐ No ☐

\*Current Letter of Certification attached? Yes ☐ No ☐

*Attach additional sheets as needed.*

\* Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.

**MBE/WBE LETTER OF INTENT - FORM 2**

M/WBE Firm: SAL EXPRESS, INC.

Certifying Agency: CITY OF CHICAGO

Contact Person: TATJANA PAVLOVIC

Certification Expiration Date: 5/1/21

Address: 10640 STALFORD ROAD

Ethnicity: CAUCASIAN

City/State: COUNTRYSIDE, IL Zip: 60525

Bid/Proposal/Contract #: 1655-15887

Phone: 708-207-9099 Fax: 708-594-7095

FEIN #: 26-1534294

Email: sal express@hotmail.com

Participation: ☒ Direct ☐ Indirect

Will the M/WBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s):

The undersigned M/WBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: (If more space is needed to fully describe M/WBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

HAULING

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

29,129.95

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a MBE/WBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

[Signature]  
Signature (M/WBE)

Tatjana Pavlovic

Print Name

S.A.L. Express, Inc.

Firm Name

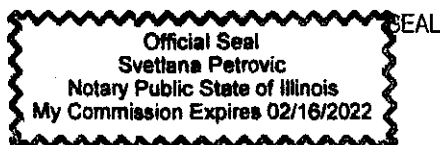
11-13-2018

Date

Subscribed and sworn before me

this 13 day of NOVEMBER, 2018.

Notary Public Svetlana Petrovic



[Signature]  
Signature (Prime Bidder/Proposer)

DANIEL R. PLOTE

Print Name

PLOTE CONSTRUCTION INC.

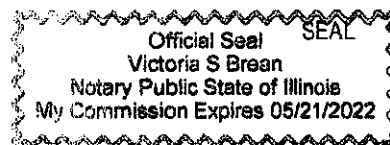
Firm Name

12/7/18  
Date

Subscribed and sworn before me

this 7th day of DECEMBER, 2018.

Notary Public Victoria S Breen



**PETITION FOR REDUCTION/WAIVER OF MBE/WBE PARTICIPATION – FORM 3**

**A. BIDDER/PROPOSER HEREBY REQUESTS:**

☐

FULL MBE WAIVER

☐

FULL WBE WAIVER

☒

REDUCTION (PARTIAL MBE and/or WBE PARTICIPATION)

3.39 % of Reduction for MBE Participation

0.09 % of Reduction for WBE Participation

**B. REASON FOR FULL/REDUCTION WAIVER REQUEST**

Bidder/Proposer shall check each item applicable to its reason for a waiver request. Additionally, supporting documentation shall be submitted with this request.

☐

(1) Lack of sufficient qualified MBEs and/or WBEs capable of providing the goods or services required by the contract. (Please explain)

☐

(2) The specifications and necessary requirements for performing the contract make it impossible or economically infeasible to divide the contract to enable the contractor to utilize MBEs and/or WBEs in accordance with the applicable participation. (Please explain)

☐

(3) Price(s) quoted by potential MBEs and/or WBEs are above competitive levels and increase cost of doing business and would make acceptance of such MBE and/or WBE bid economically impracticable, taking into consideration the percentage of total contract price represented by such MBE and/or WBE bid. (Please explain)

☒

(4) There are other relevant factors making it impossible or economically infeasible to utilize MBE and/or WBE firms. (Please explain)

**C. GOOD FAITH EFFORTS TO OBTAIN MBE/WBE PARTICIPATION**

☐

(1) Made timely written solicitation to identified MBEs and WBEs for utilization of goods and/or services; and provided MBEs and WBEs with a timely opportunity to review and obtain relevant specifications, terms and conditions of the proposal to enable MBEs and WBEs to prepare an informed response to solicitation. (Attach of copy written solicitations made)

☐

(2) Used the services and assistance of the Office of Contract Compliance staff. (Please explain)

☐

(3) Timely notified and used the services and assistance of community, minority and women business organizations. (Attach of copy written solicitations made)

☐

(4) Followed up on initial solicitation of MBEs and WBEs to determine if firms are interested in doing business. (Attach supporting documentation)

☒

(5) Engaged MBEs & WBEs for direct/indirect participation. (Please explain)

**D. OTHER RELEVANT INFORMATION**

Attach any other documentation relative to Good Faith Efforts in complying with MBE/WBE participation.



**COOK COUNTY  
ECONOMIC DISCLOSURE STATEMENT  
AND EXECUTION DOCUMENT  
INDEX**

<b>Section</b>	<b>Description</b>	<b>Pages</b>
1	Instructions for Completion of EDS	EDS i - ii
2	Certifications	EDS 1- 2
3	Economic and Other Disclosures, Affidavit of Child Support Obligations, Disclosure of Ownership Interest and Familial Relationship Disclosure Form	EDS 3 – 12
4	Cook County Affidavit for Wage Theft Ordinance	EDS 13-14
5	Contract and EDS Execution Page	EDS 15-17
6	Cook County Signature Page	EDS 18

**SECTION 1**  
**INSTRUCTIONS FOR COMPLETION OF**  
**ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

This Economic Disclosure Statement and Execution Document ("EDS") is to be completed and executed by every Bidder on a County contract, every Proposer responding to a Request for Proposals, and every Respondent responding to a Request for Qualifications, and others as required by the Chief Procurement Officer. The execution of the EDS shall serve as the execution of a contract awarded by the County. The Chief Procurement Officer reserves the right to request that the Bidder or Proposer, or Respondent provide an updated EDS on an annual basis.

**Definitions.** Terms used in this EDS and not otherwise defined herein shall have the meanings given to such terms in the Instructions to Bidders, General Conditions, Request for Proposals, Request for Qualifications, as applicable.

*Affiliate* means a person that directly or indirectly through one or more intermediaries, Controls is Controlled by, or is under common Control with the Person specified.

*Applicant* means a person who executes this EDS.

*Bidder* means any person who submits a Bid.

*Code* means the Code of Ordinances, Cook County, Illinois available on [municode.com](http://municode.com).

*Contract* shall include any written document to make Procurements by or on behalf of Cook County.

*Contractor* or *Contracting Party* means a person that enters into a Contract with the County.

*Control* means the unfettered authority to directly or indirectly manage governance, administration, work, and all other aspects of a business.

*EDS* means this complete Economic Disclosure Statement and Execution Document, including all sections listed in the Index and any attachments.

*Joint Venture* means an association of two or more Persons proposing to perform a for-profit business enterprise. Joint Ventures must have an agreement in writing specifying the terms and conditions of the relationship between the partners and their relationship and respective responsibility for the Contract

*Lobby* or *lobbying* means to, for compensation, attempt to influence a County official or County employee with respect to any County matter.

*Lobbyist* means any person who lobbies.

*Person* or *Persons* means any individual, corporation, partnership, Joint Venture, trust, association, Limited Liability Company, sole proprietorship or other legal entity.

*Prohibited Acts* means any of the actions or occurrences which form the basis for disqualification under the Code, or under the Certifications hereinafter set forth.

*Proposal* means a response to an RFP.

*Proposer* means a person submitting a Proposal.

*Response* means response to an RFQ.

*Respondent* means a person responding to an RFQ.

*RFP* means a Request for Proposals issued pursuant to this Procurement Code.

*RFQ* means a Request for Qualifications issued to obtain the qualifications of interested parties.

**INSTRUCTIONS FOR COMPLETION OF  
ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

**Section 1: Instructions.** Section 1 sets forth the instructions for completing and executing this EDS.

**Section 2: Certifications.** Section 2 sets forth certifications that are required for contracting parties under the Code and other applicable laws. Execution of this EDS constitutes a warranty that all the statements and certifications contained, and all the facts stated, in the Certifications are true, correct and complete as of the date of execution.

**Section 3: Economic and Other Disclosures Statement.** Section 3 is the County's required Economic and Other Disclosures Statement form. Execution of this EDS constitutes a warranty that all the information provided in the EDS is true, correct and complete as of the date of execution, and binds the Applicant to the warranties, representations, agreements and acknowledgements contained therein.

**Required Updates.** The Applicant is required to keep all information provided in this EDS current and accurate. In the event of any change in the information provided, including but not limited to any change which would render inaccurate or incomplete any certification or statement made in this EDS, the Applicant shall supplement this EDS up to the time the County takes action, by filing an amended EDS or such other documentation as is required.

**Additional Information.** The County's Governmental Ethics and Campaign Financing Ordinances impose certain duties and obligations on persons or entities seeking County contracts, work, business, or transactions, and the Applicant is expected to comply fully with these ordinances. For further information please contact the Director of Ethics at (312) 603-4304 (69 W. Washington St. Suite 3040, Chicago, IL 60602) or visit the web-site at [cookcountyil.gov/ethics-board-of](http://cookcountyil.gov/ethics-board-of).

**Authorized Signers of Contract and EDS Execution Page.** If the Applicant is a corporation, the President and Secretary must execute the EDS. In the event that this EDS is executed by someone other than the President, attach hereto a certified copy of that section of the Corporate By-Laws or other authorization by the Corporation, satisfactory to the County that permits the person to execute EDS for said corporation. If the corporation is not registered in the State of Illinois, a copy of the Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a partnership or joint venture, all partners or joint venturers must execute the EDS, unless one partner or joint venture has been authorized to sign for the partnership or joint venture, in which case, the partnership agreement, resolution or evidence of such authority satisfactory to the Office of the Chief Procurement Officer must be submitted with this Signature Page.

If the Applicant is a member-managed LLC all members must execute the EDS, unless otherwise provided in the operating agreement, resolution or other corporate documents. If the Applicant is a manager-managed LLC, the manager(s) must execute the EDS. The Applicant must attach either a certified copy of the operating agreement, resolution or other authorization, satisfactory to the County, demonstrating such person has the authority to execute the EDS on behalf of the LLC. If the LLC is not registered in the State of Illinois, a copy of a current Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a Sole Proprietorship, the sole proprietor must execute the EDS.

A "Partnership" "Joint Venture" or "Sole Proprietorship" operating under an Assumed Name must be registered with the Illinois county in which it is located, as provided in 805 ILCS 405 (2012), and documentation evidencing registration must be submitted with the EDS.

Effective October 1, 2016 all foreign corporations and LLCs must be registered with the Illinois Secretary of State's Office unless a statutory exemption applies to the applicant. Applicants who are exempt from registering must provide a written statement explaining why they are exempt from registering as a foreign entity with the Illinois Secretary of State's Office.

**SECTION 2****CERTIFICATIONS**

THE FOLLOWING CERTIFICATIONS ARE MADE PURSUANT TO STATE LAW AND THE CODE. THE APPLICANT IS CAUTIONED TO CAREFULLY READ THESE CERTIFICATIONS PRIOR TO SIGNING THE SIGNATURE PAGE. SIGNING THE SIGNATURE PAGE SHALL CONSTITUTE A WARRANTY BY THE APPLICANT THAT ALL THE STATEMENTS, CERTIFICATIONS AND INFORMATION SET FORTH WITHIN THESE CERTIFICATIONS ARE TRUE, COMPLETE AND CORRECT AS OF THE DATE THE SIGNATURE PAGE IS SIGNED. THE APPLICANT IS NOTIFIED THAT IF THE COUNTY LEARNS THAT ANY OF THE FOLLOWING CERTIFICATIONS WERE FALSELY MADE, THAT ANY CONTRACT ENTERED INTO WITH THE APPLICANT SHALL BE SUBJECT TO TERMINATION.

**A. PERSONS AND ENTITIES SUBJECT TO DISQUALIFICATION**

No person or business entity shall be awarded a contract or sub-contract, for a period of five (5) years from the date of conviction or entry of a plea or admission of guilt, civil or criminal, if that person or business entity:

- 1) Has been convicted of an act committed, within the State of Illinois, of bribery or attempting to bribe an officer or employee of a unit of state, federal or local government or school district in the State of Illinois in that officer's or employee's official capacity;
- 2) Has been convicted by federal, state or local government of an act of bid-rigging or attempting to rig bids as defined in the Sherman Anti-Trust Act and Clayton Act. Act. 15 U.S.C. Section 1 *et seq.*;
- 3) Has been convicted of bid-rigging or attempting to rig bids under the laws of federal, state or local government;
- 4) Has been convicted of an act committed, within the State, of price-fixing or attempting to fix prices as defined by the Sherman Anti-Trust Act and the Clayton Act. 15 U.S.C. Section 1, *et seq.*;
- 5) Has been convicted of price-fixing or attempting to fix prices under the laws the State;
- 6) Has been convicted of defrauding or attempting to defraud any unit of state or local government or school district within the State of Illinois;
- 7) Has made an admission of guilt of such conduct as set forth in subsections (1) through (6) above which admission is a matter of record, whether or not such person or business entity was subject to prosecution for the offense or offenses admitted to; or
- 8) Has entered a plea of *nolo contendere* to charge of bribery, price-fixing, bid-rigging, or fraud, as set forth in subparagraphs (1) through (6) above.

In the case of bribery or attempting to bribe, a business entity may not be awarded a contract if an official, agent or employee of such business entity committed the Prohibited Act on behalf of the business entity and pursuant to the direction or authorization of an officer, director or other responsible official of the business entity, and such Prohibited Act occurred within three years prior to the award of the contract. In addition, a business entity shall be disqualified if an owner, partner or shareholder controlling, directly or indirectly, 20% or more of the business entity, or an officer of the business entity has performed any Prohibited Act within five years prior to the award of the Contract.

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant has read the provisions of Section A, Persons and Entities Subject to Disqualification, that the Applicant has not committed any Prohibited Act set forth in Section A, and that award of the Contract to the Applicant would not violate the provisions of such Section or of the Code.

**B. BID-RIGGING OR BID ROTATING**

**THE APPLICANT HEREBY CERTIFIES THAT:** In accordance with 720 ILCS 5/33 E-11, neither the Applicant nor any Affiliated Entity is barred from award of this Contract as a result of a conviction for the violation of State laws prohibiting bid-rigging or bid rotating.

**C. DRUG FREE WORKPLACE ACT**

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant will provide a drug free workplace, as required by (30 ILCS 580/3).

**D. DELINQUENCY IN PAYMENT OF TAXES**

**THE APPLICANT HEREBY CERTIFIES THAT:** *The Applicant is not an owner or a party responsible for the payment of any tax or fee administered by Cook County, such as bar award of a contract or subcontract pursuant to the Code, Chapter 34, Section 34-171.*

**E. HUMAN RIGHTS ORDINANCE**

No person who is a party to a contract with Cook County ("County") shall engage in unlawful discrimination or sexual harassment against any individual in the terms or conditions of employment, credit, public accommodations, housing, or provision of County facilities, services or programs (Code Chapter 42, Section 42-30 *et seq.*).

**F. ILLINOIS HUMAN RIGHTS ACT**

**THE APPLICANT HEREBY CERTIFIES THAT:** *It is in compliance with the Illinois Human Rights Act (775 ILCS 5/2-105), and agrees to abide by the requirements of the Act as part of its contractual obligations.*

**G. INSPECTOR GENERAL (COOK COUNTY CODE, CHAPTER 34, SECTION 34-174 and Section 34-250)**

The Applicant has not willfully failed to cooperate in an investigation by the Cook County Independent Inspector General or to report to the Independent Inspector General any and all information concerning conduct which they know to involve corruption, or other criminal activity, by another county employee or official, which concerns his or her office of employment or County related transaction.

The Applicant has reported directly and without any undue delay any suspected or known fraudulent activity in the County's Procurement process to the Office of the Cook County Inspector General.

**H. CAMPAIGN CONTRIBUTIONS (COOK COUNTY CODE, CHAPTER 2, SECTION 2-585)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning campaign contributions, which is codified at Chapter 2, Division 2, Subdivision II, Section 585, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**I. GIFT BAN, (COOK COUNTY CODE, CHAPTER 2, SECTION 2-574)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning receiving and soliciting gifts and favors, which is codified at Chapter 2, Division 2, Subdivision II, Section 574, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**J. LIVING WAGE ORDINANCE PREFERENCE (COOK COUNTY CODE, CHAPTER 34, SECTION 34-160;**

Unless expressly waived by the Cook County Board of Commissioners, the Code requires that a living wage must be paid to individuals employed by a Contractor which has a County Contract and by all subcontractors of such Contractor under a County Contract, throughout the duration of such County Contract. The amount of such living wage is annually by the Chief Financial Officer of the County, and shall be posted on the Chief Procurement Officer's website.

The term "Contract" as used in Section 4, I, of this EDS, specifically excludes contracts with the following:

- 1) Not-For Profit Organizations (defined as a corporation having tax exempt status under Section 501(C)(3) of the United States Internal Revenue Code and recognized under the Illinois State not-for-profit law);
- 2) Community Development Block Grants;
- 3) Cook County Works Department;
- 4) Sheriff's Work Alternative Program; and
- 5) Department of Correction inmates.

**SECTION 3****REQUIRED DISCLOSURES****1. DISCLOSURE OF LOBBYIST CONTACTS**

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name

Address

N/A

**2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)**

*Local business* means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

- a) Is Applicant a "Local Business" as defined above?

Yes: ☒ No: ☐

- b) If yes, list business addresses within Cook County:

2200 Graham Street, Bartlett, IL 60103

- c) Does Applicant employ the majority of its regular full-time workforce within Cook County?

Yes: ☐ No: ☒

**3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)**

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

**All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.**

**4. REAL ESTATE OWNERSHIP DISCLOSURES.**

The Applicant must indicate by checking the appropriate provision below and providing all required information that either:

- a) The following is a complete list of all real estate owned by the Applicant in Cook County:

PERMANENT INDEX NUMBER(S): **See Attached**

(ATTACH SHEET IF NECESSARY TO LIST ADDITIONAL INDEX NUMBERS)

**OR:**

- b) ☐ The Applicant owns no real estate in Cook County.

**5. EXCEPTIONS TO CERTIFICATIONS OR DISCLOSURES.**

If the Applicant is unable to certify to any of the Certifications or any other statements contained in this EDS and not explained elsewhere in this EDS, the Applicant must explain below:

N/A

If the letters, "NA", the word "None" or "No Response" appears above, or if the space is left blank, it will be conclusively presumed that the Applicant certified to all Certifications and other statements contained in this EDS.

**COMPLETE LIST OF REAL ESTATE OWNED IN COOK COUNTY:**

<b>PERMANENT INDEX NUMBER</b>	
	06-30-101-002-0000
	12-20-202-041-0000
	12-20-200-018-0000
	12-20-200-020-0000
	12-20-202-027-0000
	12-20-200-025-0000
	12-20-202-040-0000
	12-20-202-042-0000
	12-20-202-044-0000
	12-20-202-046-0000
	12-20-201-029-0000
	12-20-101-017-0000
	12-20-101-022-0000
	12-20-200-028-0000
	12-20-202-029-0000
	12-20-202-023-0000



**COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT**

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing. **County reserves the right to request additional information to verify veracity of information contained in this statement.**

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

1. An Applicant for County Action and
2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under **Ownership Interest Declaration**.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the ☒ Applicant or ☐ Stock/Beneficial Interest Holder

This Statement is an: ☐ Original Statement or ☒ Amended Statement

**Identifying Information:**

Name Plote Construction inc.

D/B/A: \_\_\_\_\_ FEIN # Only: 36-2814492

Street Address: 1100 Brandt Drive

City: Hoffman Estates

State: IL

Zip Code: 60192

Phone No.: 847-695-9300

Fax Number: 847-695-9317

Email: dplote@plote.com

Cook County Business Registration Number: \_\_\_\_\_

(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): 5241-213-7

**Form of Legal Entity:**

☐ Sole Proprietor ☐ Partnership ☒ Corporation ☐ Trustee of Land Trust

☐ Business Trust ☐ Estate ☐ Association ☐ Joint Venture

☐ Other (describe) \_\_\_\_\_

**Ownership Interest Declaration:**

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
Daniel R. Plote	330 Seers Rd, Schaumburg, IL 60173	100%

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address
N/A		

3. Is the Applicant constructively controlled by another person or Legal Entity? [ ☐ ] Yes [ ☒ ] No  
If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship

**Corporate Officers, Members and Partners Information:**

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
Daniel R. Plote	330 Seers Road, Schaumburg, IL 60173	President/Owner	
Janice R. Plote	10211 Frank Road, Algonquin, IL 60102	Secretary/Treasurer	

**Declaration (check the applicable box):**

- ☒ I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- ☐ I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT SIGNATURE PAGE

Daniel R. Plote

Name of Authorized Applicant/Holder Representative (please print or type)

Signature

dplote@plote.com

E-mail address

President

Title

November 8, 2018

Date

847-695-9300

Phone Number

Subscribed to and sworn before me  
this 8th day of Nov., 2018.

x

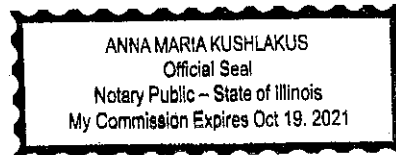
*Anna Maria Kushlakus*

Notary Public Signature

My commission expires:

October 19, 2021

Notary Seal





**COOK COUNTY BOARD OF ETHICS**  
 69 W. WASHINGTON STREET, SUITE 3040  
 CHICAGO, ILLINOIS 60602  
 312/603-4304 Office 312/603-9988 Fax

### **FAMILIAL RELATIONSHIP DISCLOSURE PROVISION**

#### **Nepotism Disclosure Requirement:**

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

#### **Additional Definitions:**

*"Familial relationship"* means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- |                                  |  |  |
|----------------------------------|--|--|
| <input type="checkbox"/> Parent  | <input type="checkbox"/> Grandparent     | <input checked="" type="checkbox"/> Stepfather |
| <input type="checkbox"/> Child   | <input type="checkbox"/> Grandchild      | <input type="checkbox"/> Stepmother            |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law   | <input type="checkbox"/> Stepson               |
| <input type="checkbox"/> Sister  | <input type="checkbox"/> Mother-in-law   | <input type="checkbox"/> Stepdaughter          |
| <input type="checkbox"/> Aunt    | <input type="checkbox"/> Son-in-law      | <input type="checkbox"/> Stepbrother           |
| <input type="checkbox"/> Uncle   | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister            |
| <input type="checkbox"/> Niece   | <input type="checkbox"/> Brother-in-law  | <input type="checkbox"/> Halfbrother           |
| <input type="checkbox"/> Nephew  | <input type="checkbox"/> Sister-in-law   | <input type="checkbox"/> Halfsister            |

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

**A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY**Name of Person Doing Business with the County: Plote Construction Inc.Address of Person Doing Business with the County: 1100 Brandt Drive, Hoffman Estates, IL 60192Phone number of Person Doing Business with the County: 847-695-9300Email address of Person Doing Business with the County: dplote@plote.com

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

Daniel R. Plote, President**B. DESCRIPTION OF BUSINESS WITH THE COUNTY**

*Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the proceeding calendar year if disclosure is made on January 1), identify:*

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: \_\_\_\_\_

1655-15887

The aggregate dollar value of the business you are doing or seeking to do with the County: \$ Amendment 2 Final (\$610,985.35)

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: \_\_\_\_\_

Holly Cichy, Chief Engineer of Construction

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: \_\_\_\_\_

John Yonan, Superintendent,**C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS**

*Check the box that applies and provide related information where needed*

- ☐ The Person Doing Business with the County **is an individual** and there is **no familial relationship** between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.



The Person Doing Business with the County **is a business entity** and there is **no familial relationship** between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

- ☐ The Person Doing Business with the County **is an individual** and **there is a familial relationship** between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
N/A			

*If more space is needed, attach an additional sheet following the above format.*

- ☐ The Person Doing Business with the County **is a business entity** and **there is a familial relationship** between at least one member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
N/A			

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*

Name of Person Responsible for the General Administration of the Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
---	--	--	-------------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Agent Authorized to Execute Documents for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
---	--	--	-------------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Employee of Business Entity Directly Engaged in Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
---	--	--	-------------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

*If more space is needed, attach an additional sheet following the above format.*

**VERIFICATION:** To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.

Signature of Recipient

November 8, 2018

Date

**SUBMIT COMPLETED FORM TO:**

Cook County Board of Ethics  
69 West Washington Street, Suite 3040, Chicago, Illinois 60602  
Office (312) 603-4304 – Fax (312) 603-9988  
CookCounty.Ethics@cookcountyil.gov

\* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (*i.e.* in laws and step relations) or adoption.

## SECTION 4

**COOK COUNTY AFFIDAVIT FOR WAGE THEFT ORDINANCE**

Effective May 1, 2015, every Person, ***including Substantial Owners***, seeking a Contract with Cook County must comply with the Cook County Wage Theft Ordinance set forth in Chapter 34, Article IV, Section 179. Any Person/Substantial Owner, who fails to comply with Cook County Wage Theft Ordinance, may request that the Chief Procurement Officer grant a reduction or waiver in accordance with Section 34-179(d).

"Contract" means any written document to make Procurements by or on behalf of Cook County.

"Person" means any individual, corporation, partnership, Joint Venture, trust, association, limited liability company, sole proprietorship or other legal entity.

"Procurement" means obtaining supplies, equipment, goods, or services of any kind.

"Substantial Owner" means any person or persons who own or hold a twenty-five percent (25%) or more percentage of interest in any business entity seeking a County Privilege, including those shareholders, general or limited partners, beneficiaries and principals; except where a business entity is an individual or sole proprietorship, Substantial Owner means that individual or sole proprietor.

All Persons/Substantial Owners are required to complete this affidavit and comply with the Cook County Wage Theft Ordinance before any Contract is awarded. Signature of this form constitutes a certification the information provided below is correct and complete, and that the individual(s) signing this form has/have personal knowledge of such information. **County reserves the right to request additional information to verify veracity of information contained in this Affidavit.**

**I. Contract Information:**

Contract Number: 1655-15887

County Using Agency (requesting Procurement): Cook County

**II. Person/Substantial Owner Information:**

Person (Corporate Entity Name): Plote Construction Inc.

Substantial Owner Complete Name: Daniel R. Plote

FEIN# 36-2814492

E-mail address: dplote@plote.com

Street Address: 330 Seers Road

City: Schaumburg State: IL Zip: 60173

Home Phone: \_\_\_\_\_

**III. Compliance with Wage Laws:**

Within the past five years has the Person/Substantial Owner, in any judicial or administrative proceeding, been convicted of, entered a plea, made an admission of guilt or liability, or had an administrative finding made for committing a repeated or willful violation of any of the following laws:

No *Illinois Wage Payment and Collection Act, 820 ILCS 115/1 et seq.,*

No *Illinois Minimum Wage Act, 820 ILCS 105/1 et seq.,*

No *Illinois Worker Adjustment and Retraining Notification Act, 820 ILCS 65/1 et seq.,*

No *Employee Classification Act, 820 ILCS 185/1 et seq.,*

No *Fair Labor Standards Act of 1938, 29 U.S.C. 201, et seq.,*

No *Any comparable state statute or regulation of any state, which governs the payment of wages*

If the Person/Substantial Owner answered "Yes" to any of the questions above, it is ineligible to enter into a Contract with Cook County, but can request a reduction or waiver under **Section IV**.



**IV. Request for Waiver or Reduction**

If Person/Substantial Owner answered "Yes" to any of the questions above, it may request a reduction or waiver in accordance with Section 34-179(d), provided that the request for reduction of waiver is made on the basis of one or more of the following actions that have taken place:

- No *There has been a bona fide change in ownership or Control of the ineligible Person or Substantial Owner*
- No *Disciplinary action has been taken against the individual(s) responsible for the acts giving rise to the violation*
- No *Remedial action has been taken to prevent a recurrence of the acts giving rise to the disqualification or default*
- No *Other factors that the Person or Substantial Owner believe are relevant.*

The Person/Substantial Owner must submit documentation to support the basis of its request for a reduction or waiver. The Chief Procurement Officer reserves the right to make additional inquiries and request additional documentation.

**V. Affirmation**

The Person/Substantial Owner affirms that all statements contained in the Affidavit are true, accurate and complete.

Signature: \_\_\_\_\_

Date: 11/8/18

Name of Person signing (Print): Daniel R. Plote

Title: President

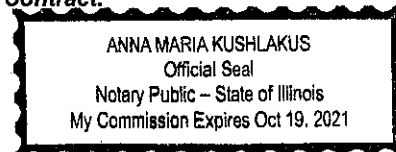
Subscribed and sworn to before me this 8th day of November, 2018

x AM Kushlakus  
Notary Public Signature

October 19, 2021

Notary Seal

**Note: The above information is subject to verification prior to the award of the Contract.**



## SECTION 5

**CONTRACT AND EDS EXECUTION PAGE**  
***PLEASE EXECUTE THREE ORIGINAL PAGES OF EDS***

The Applicant hereby certifies and warrants that all of the statements, certifications and representations set forth in this EDS are true, complete and correct; that the Applicant is in full compliance and will continue to be in compliance throughout the term of the Contract or County Privilege issued to the Applicant with all the policies and requirements set forth in this EDS; and that all facts and information provided by the Applicant in this EDS are true, complete and correct. The Applicant agrees to inform the Chief Procurement Officer in writing if any of such statements, certifications, representations, facts or information becomes or is found to be untrue, incomplete or incorrect during the term of the Contract or County Privilege.


**Execution by Corporation****Plote Construction Inc.**

Corporation's Name

**847-695-9300**

Telephone

Secretary Signature



President's Printed Name and Signature

**dplote@plote.com**

Email

**November 8, 2018**

Date

**Execution by LLC**

LLC Name

\*Member/Manager Printed Name and Signature

Date

Telephone and Email

**Execution by Partnership/Joint Venture**

Partnership/Joint Venture Name

\*Partner/Joint Venturer Printed Name and Signature

Date

Telephone and Email

**Execution by Sole Proprietorship**

Printed Name Signature

Assumed Name (if applicable)

Date

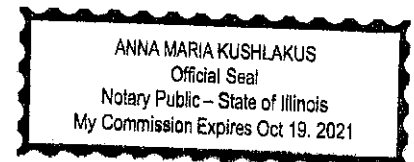
Telephone and Email

**Subscribed and sworn to before me this**

8th day of November, 2018.


  
Notary Public Signature

My commission expires:

10-19-2021  
Notary Seal

\*If the operating agreement, partnership agreement or governing documents requiring execution by multiple members, managers, partners, or joint venturers, please complete and execute additional Contract and EDS Execution Pages.